



KEN07501 Support to UNDP Country Programme Action Plan

Project Document (PD)

Expected Outcome: Strengthened capacity of key institution for enhanced efficiency, effectiveness, transparency and accountability in the formulation and delivery of pro-poor planning and policies, with MDG and gender considerations.

Expected Output(s): Delivery of 2004-2008 CPAP, 2009 – 2013 UNDAF, 2009 - 2013 CPAP, Monitoring and Evaluation Plan, ERD office equipment, Effective project management

Implementing Agent: NEX

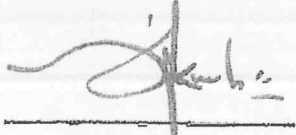
Implementing partner: Ministry of Finance

Project Period: July 2007 – June 2009

Budget: \$USD 1.24Milliom **Funding source** UNDP

On behalf of: **Signature:** **Date:** **Name/Title:**

Government

 28-6-07 Joseph K. Kingua
Permanent Secretary
Ministry of Finance

UNDP

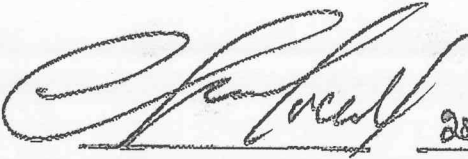
 28/06/07 UNDP Resident
Representative

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Purpose

This document has been produced following consultations between the UNDP Kenya country office and the Government of Kenya to address the need to strengthen the capacities of national institutions to implement activities under the framework of National Execution.

This Project initiation Document (PID) addresses the following fundamental aspects of the Project:

- What is the Project aiming to achieve
- Why is it important to achieve the stated objectives
- What outputs and deliverables will be produced
- Who will be involved in managing the Project and what are their roles and responsibilities
- How and when the arrangements discussed in the PID will be put into effect

Reference will be made to this document at every decision level related to the project, and will be utilized at the conclusion of the Project to measure whether it delivered the desirable/acceptable results.

Background

UNDP has a strong relationship with the Government of Kenya and is fully committed to further strengthening this partnership. The overall focus of UNDP in Kenya is to support the Government of Kenya to promote enhanced opportunities, empowerment, security (HIV/AIDS, natural and man-made disasters), sustainability and strategic outreach, all of which are detailed in the Country Programme Action Plan (CPAP) for the years 2004-2008 and which was signed with the Government in 2004.

The structure of the country office is organized in line with the focus areas of intervention as follows:

- The Enhanced Opportunities Unit focuses on the promotion of economic opportunities for Kenyans in sustainable businesses, production and investment for income diversification;

Message sent on behalf of Alfredo Teixeira

Dear Colleagues,

This Year's MTEF [budget process] is by now well underway. As you would be aware, inputs required for MTEF includes OFF-BUDGET external resource commitments.

The sectors have now been invited to submit their respective external resource support covering the three years - 2013/4 to 2015/16.

In this regard, the purpose of this note is to request for data on committed or ear-marked support that is planned for implementation under your projects, during this period. Even for on-going programs, it would be useful to provide data on total resources rolled-over and available for application in the three years covered by the MTEF.

Regards,

Dorothy

- The Empowerment/governance Unit manages programmes aimed at enhancing and developing capacities of key government, national and local institutions to deliver social services to its citizens efficiently and effectively;
- The Security Unit aims to ensuring human, natural and physical security for citizens to take advantage of opportunities that a stable, peaceful and progressive country offers. It strives to reduce the incidences of natural and man-made disasters and insecurity on the lives of the poor through programmes, which enhance multisectoral responses to HIV/AIDS, natural disaster mitigation, conflict resolution and peace building.
- The Sustainability Unit's are of intervention are focussed on natural resources and environmental management programmes for poverty alleviation and sustainable growth, through inter-alia, mainstreaming of environmental issues into national development planning, and promoting effective and community-based management of natural resources.
- The Strategic Outreach Unit focuses on strategic interventions through the development of policy tools, mechanisms, instruments and systems to enable the country to advocate for pro-poor growth and development and provide appropriate advisory services;

UNDP Kenya adds value to the Government's development efforts by providing the right mix of policy advisory services and technical assistance with efficiency, transparency, accountability, practicing good governance, and by strictly adhering to UNDP rules and regulations and principles and procedures. UNDP Kenya uses its own cost-efficient ERP/ATLAS system and extensive global knowledge management base. UNDP Kenya undertakes concrete measures to build upon corporate capacity and best practices in ensuring the provision of high-level technical assistance and operational support services for efficient programme and project implementation.

UNDP Kenya country office and the Government of Kenya have been enjoying constructive relationship, and fruitful partnership in the advancement of the country's development agenda since the UN came into existence in Kenya. In pursuit of the promotion of national ownership, the project "Support to National Execution" initiated in 2002 has been put in place for the delivery of programmes and projects. The main objective of this project has always been to build the capacity of the Government of Kenya to absorb and utilise donor resources more effectively and efficiently. The projects enhanced the ownership of the programmes and contributed to capacity building, particularly with respect to the development of human resources. More importantly, it strived for greater coordination of development partners programmes and aligned them to government's development programmes. This new phase of the project therefore is aimed at continuing to deepen the national ownership of development programmes and to enhance the absorption capacity of the government through enhanced management of programmes. The programme will run for two years until the end of the current Country Program Action Plan in 2008. A Letter Of Agreement between UNDP and the Government of Kenya will be signed in order to formalize the basic points of the programme. The project will provide support services as stated in the Letter Of

Agreement mentioned above and annexed as Appendix I in this Project Initiation Document (PID). The impact foreseen is to improve the capacity of the Kenya Government to deliver nationally executed projects effectively.

Project Definition

Project Objectives

UNDP has a mandate to work with countries to address national development challenges. Through supporting the Government on implementation and developing national capacity, UNDP is contributing to its goal of building and sharing solutions to national needs and furthering the effort to achieve the Millennium Development Goals, to promote growth and reduce poverty.

Project Scope and Exclusions

The scope of the Project is to provide the requested support services stated in the Letter Of Agreement signed between UNDP and GOK. Overall, the expected impact will be improved capacity of the Country Office to service the GOK effectively and efficiently through the provision of support services for the implementation of all nationally executed projects under the GOK/UNDP Country Action Plan 2004-2008, under the programmatic areas articulated below. Each programmatic unit will be supported with staff with specific Terms Of Reference.

Project Deliverables

The UNDP country office will provide, as agreed in the Annual Workplan, the following support services for the smooth implementation of project activities:

1. Provide high-level advisory services in the implementation of the Economic Recovery Strategy and the realization of MDGs contained in the Country programme Action Plan
2. Support the Economic Recovery Strategy and the realization of MDGs through mobilization of resources from donor partners
3. Support the Government in the Harmonization, Alignment and Coordination of aid in Kenya, as required by the Ministry of Finance
4. Monitor and Evaluate CPAP as outlined in the Monitoring and Evaluation Framework
5. Backstopping and initiating, defining, running and closing of projects
6. Identification and /or recruitment of project personnel and consultants
7. Identification and facilitation of training activities
8. Procurement of goods and services.

Based on the foregoing, some of the specific project deliverables will include the following:

A: Under the Opportunities Component of CPAP

1. Strengthen capacity of National Economic and Social Council (NESC) secretariat
2. Enable Kenya Enterprise Private Sector Alliance (KEPSA) to act as private sector umbrella body
3. Strengthen management capacity of two vocational training institutions
4. Conduct at least three entrepreneurship-training sessions for youth
5. Pilot district business solution centres in three districts

B: Under the Empowerment Component of CPAP

1. Provide support for a free and fair general elections
2. Introduce Results Based Management through public service reform programme
3. Support to the formulation and implementation of Kenya Vision 2030
4. Strengthen the secretariat of New Partnership for Africa Development (NEPAD) secretariat
5. Support to the Ministry of Finance
6. Create awareness and develop policies and implement programmes to address disability -
7. Continue support to Civil Society Organizations on Human Rights issues
8. Promote decentralized planning, budgeting and monitoring and evaluation on the achievement of MDG's.
9. Capacity support to the Ministry of Justice and Constitutional Affairs.
10. Support the formulation of a Policy on National Action Plan on Human Rights

C: Under the Security Component of CPAP

1. Strengthen capacity of National Counter Terrorism Centre
2. Provide support to improved government capacity in effective emergency response
3. Provide support to improve peace building and conflict prevention capacities in Arid and Semi- Arid Lands areas
4. Promote institutionalized HIV policies in the work place
5. Provide support to improved living conditions for the host communities around the refugee camps in Lokichogio, Lakuma and Dadaab

D: Under the Sustainability Component of CPAP

1. Support to the finalization of National Environment Policy and 8 District Environment Action Plans (DEAPs).
2. Support to the preparation of the National Report on the Economic Value of Environment and Natural Resources and their contribution to economic growth (GDP)

3. Provide support to the operationization of Kenya Forest Service and finalization of two subsidiary legislations for the forest sector
4. Support to finalization of Energy Road Map to meet MDGs: identification of sources of energy, and preliminary costing to deliver energy services for various sectors.

E: Under the Strategic Unit

1. Production of annual National Human development Report
2. Dissemination of Global Human Development Report to internalize issues contained in the report for informed policy processes;
3. Production of Inequality Studies to inform inclusive planning and budgeting processes to meet the MDGs;
4. Preparation of Annual development Cooperation report to ensure coordination and management of external resources
5. Economic review papers and publications

Business Centre

Monitoring and evaluation of the CPAP through:

- Annual Progress Review of the CPAP
- Quarterly Technical CPAP Component Review meetings
- Outcome evaluations of the CPAP
- Mid-term review of the CPAP
- Facilitation of Implementing Partners capacity assessment

Financial Management of programme resources;

Procurement and Legal Services

Assumptions

It is assumed that the relevant provisions of the UNDP Standard Basic Assistance Agreement "SBAA" between the Government of Kenya and UNDP, signed on 17th January 1991, including the provision on liability and privileges and immunities, shall apply to the provisions of such support services. The Government shall retain overall responsibility for the nationally managed programmes or projects through its designated institutions.

Proposed budget 2007/2008 in US Dollars

Support Services	2007	2008
1. Provide advisory services in the implementation of the Economic Recovery Strategy and the realization of MDGs contained in the Country programme Action Plan and facilitate the preparation of the next UNDAF and CPAP.	50,000	50,000

2. Support the Economic Recovery Strategy and the realization of MDGs through mobilization of resources from donor partners	10,000	10,000
3. Support the Government in the Harmonization, Alignment and Coordination of aid in Kenya, as required by the Ministry of Finance	55,000	55,000
4. Monitor and Evaluate CPAP as outlined in the Monitoring and Evaluation Framework.	90,000	90,000
5. Backstopping and initiating, defining, running and closing of projects	5,000	5,000
6. Identification and/or recruitment of project personnel and consultants	270,000	270,000
7. Identification and facilitation of training activities	50,000	50,000
8. Procurement of goods and services	90,000	90,000
Total	620,000	620,000

Project Benefits (Business Case)

The benefits of improving the management efficiency and effectiveness of nationally executed projects are:

- Higher success rate in delivering satisfactory timely results of high quality, within the scope and budget stipulated in UNDP/GOK programme and project interventions
- Enhanced oversight of projects with an effective monitoring and evaluation system that facilitates timely trouble shooting and decision making.
- Staggered implementation stages with clearly defined milestones to ensure risk minimization and identification of issues early in the process
- Improved stakeholder relations in programme and project communications based on a better capturing of results, challenges and lessons learned as well as information sharing.

